

Audit and Governance Committee

Dorset County Council



Date of Meeting	13 March 2017
Officers	<u>Lead Cabinet Member</u> Robert Gould – Leader <u>Local Members</u> All Members <u>Lead Director</u> Debbie Ward, Chief Executive
Subject of Report	Progress on Matters Raised at Previous Meetings
Executive Summary	<p>This report records:-</p> <p>(a) Cabinet decisions arising from recommendations from Audit and Governance Committee meetings; and</p> <p>(b) Outstanding actions identified at the meetings held on 20 September 2016 and 20 January 2017.</p> <p>Recommendations arising from the Call to Account - Ironman Event were approved by Cabinet on 18 January 2017.</p> <p>Recommendations in respect of the following items were approved by County Council on 16 February 2017:-</p> <ul style="list-style-type: none">• Appointing the External Auditor• Statutory Officer Panel Terms of Reference• Constitutional Changes – <i>an amendment was made to continue to include all overview and scrutiny committee minutes at meetings of the County Council.</i>

Progress on Matters Raised at Previous Meetings

Impact Assessment:	Equalities Impact Assessment: N/A
	Use of Evidence: Information used to compile this report is drawn together from the Committee's recommendations made to the Cabinet, and arising from matters raised at previous meetings. Evidence of other decisions made by the Cabinet which have differed from recommendations will also be included in the report.
	Budget: No VAT or other cost implications have been identified arising directly from this programme.
	Risk Assessment: Having considered the risks associated with this decision using the County Council's approved risk management methodology, the level of risk has been identified as: Current Risk: LOW Residual Risk: LOW
	Other Implications: None
Recommendation	That Members consider the matters set out in this report.
Reason for Recommendation	To support the Council's corporate aim to provide innovative and value for money services.
Appendices	None
Background Papers	None
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Progress on Matters Raised at Previous Meetings

Date of Meeting	Note Number and subject reference	Action Required	Responsible Officer	Completed (incl comments)
20 September 16	20 – External Audit Annual Report 2015/16	Follow-up of prior year recommendations – supporting evidence for starters and leavers to the pension fund. Information to be circulated to the Committee and made available at the Pensions Board meeting.	Richard Bates	The performance of the scanning company is closely monitored and scans are now being completed in a timely way and are returned within the two week timescale, it is not expected that the year end issues experienced in March 2015 will be repeated. It is still not cost effective to bring this service in house which would mean that all documents remain on site at all times. An annual review of this service is conducted to ensure the scanning solution is appropriate.
	26 – DES Business Continuity Update	To include councillors in any ICT security related training and awareness for staff.	Richard Pascoe	The Head of ICT and Customer Services will write to all councillors signposting them to the online learning module used by staff and offering appropriate support.
20 January 17	5 – Primary School Meals Contract – Contract Extension Consultation	That a third option to include other suppliers is specified in the consultation; That the wording is drafted by the Senior Procurement Officer in conjunction with the Cabinet Member for Learning, Skills and Children’s Safeguarding; That the consultation document is circulated to the Chairman, Vice-Chairman and Cllr Peter Wharf in the next two weeks.	Roger Allen	The revised consultation document was agreed by the Chairman of the Audit and Governance Committee and Cabinet Member for Learning, Skills and Children's Safeguarding.

Progress on Matters Raised at Previous Meetings

	<p>7 - Report of Internal Audit Activity Plan Progress 2016/17 - Quarters 2 and 3</p>	<p>That the areas of significant audit risk are discussed further with the Chairman, Vice-Chairman and Peter Wharf to determine which areas of significant audit risk are to be considered at the meeting on 13 March 2017.</p>	<p>Rupert Bamberger</p>	<p>Following discussion with the Chairman, Vice-Chairman and Councillor Peter Wharf, an update on the areas of significant risk has been included as appendix 2 of the Internal Audit Plan 2017/18 report to be considered on 13 March 2017.</p>
	<p>12 - Information Governance - Data Protection Update</p>	<p>That data in relation to subject access requests be circulated to the Committee;</p> <p>That the position with regard to registration and payment of £35 is clarified;</p> <p>That officers investigate the process of notifying the committee of major data breaches in future.</p>	<p>David Wilson</p>	<p>Update not available.</p>